APPENDIX 1

EMPLOYER BREACHES

Employer name	Employer number	Breach type	Breach description	Potential penalty	Notification of breach (1st notice)	Agreed action	Notification of penalty (2nd notice)	Agreed action	Penalty issued (date)	Penalty issued (£)	TPR notification (3rd notice)	Action	Material significance (Yes/No)	TPR notification approval/sign off

APPENDIX 2

APF BREACHES

Breach type	Breach description	Potential penalty	Action	Material significance (Yes/No)	Pension Committee notification	Pension Board notification	TPR notification

APPENDIX 3

MATERIAL BREACHES

Employer / APF breach	Breach type	Breach description	Action	Pension Committee notified	Pension Board notified	TPR notified